WO 02/13725 PCT/US01/23962

# STENT HAVING OVERLAPPING STRUTS

### BACKGROUND OF THE INVENTION

The present invention relates generally to endoprosthesis devices, which are commonly referred to as stents, and more particularly pertains to improvements thereto that provide for a reduced delivery profile, increased vessel scaffolding and in the case of balloon expandable stents, improved stent security.

Stents are generally thin walled tubular-shaped devices composed of complex patterns of interconnecting struts which function to hold open a segment of a blood vessel or other body lumen such as a coronary artery. They also are suitable for supporting a dissected arterial lining or intimal flap that can occlude a vessel lumen. There are two general classes of stents, balloon expandable stents and spring-like self-expandable stents. Balloon expandable stents are delivered within a vessel lumen by means of a dilatation catheter and are plastically deformed by means of an expandable member, such as an inflation balloon, from a small initial diameter to a larger expanded diameter. Self-expanding stents by contrast are formed as spring elements which are radially compressible about a delivery catheter. A compressed self-expanding stent is typically held in the compressed state by a delivery sheath. Upon delivery to a lesion site, the delivery sheath is retracted allowing the stent to expand.

Either type of stent has advantages and disadvantages. One disadvantage of self expanding stents with respect to balloon expandable stents is the relatively large profile of the self-expanding stent-delivery system. Because, self-expanding stents typically are restrained during delivery by a sheath, the outside diameter of a self-expanding stent-delivery system is greater than that of a comparable balloon expandable stent-delivery system which does not require a delivery sheath. As such, balloon expandable stents may be delivered within smaller vessels than can now be reached with a self-expanding stent.

One disadvantage of balloon expandable stents is the tendency for the stent to slip on the inflation balloon. Balloon expandable stents are typically crimped onto an inflation balloon in such a manner as to provide for a uniform crimp of the stent

# FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

$\mathbf{AL}$	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	$\mathbf{S}\mathbf{K}$	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
ΑU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
$\mathbf{B}\mathbf{B}$	Barbados	GH	Ghana	MG	Madagascar	ТJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav	TM	Turkmenistan
$\mathbf{BF}$	Burkina Faso	GR	Greece		Republic of Macedonia	TR	Turkey
BG	Bulgaria	HU	Hungary	ML	Mali	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MN	Mongolia	UA	Ukraine
BR	Brazil	IL	Israel	MR	Mauritania	UG	Uganda
BY	Belarus	IS	Iceland	MW	Malawi	US	United States of America
CA	Canada	IT	Italy	MX	Mexico	$\mathbf{U}\mathbf{Z}$	Uzbekistan
CF	Central African Republic	JP	Japan	NE	Niger	VN	Viet Nam
CG	Congo	KE	Kenya	NL	Netherlands	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NO	Norway	$\mathbf{z}\mathbf{w}$	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's	NZ	New Zealand		
CM	Cameroon		Republic of Korea	$\mathbf{PL}$	Poland		
CN	China	KR	Republic of Korea	PT	Portugal		
CU	Cuba	KZ	Kazakstan	RO	Romania		
$\mathbf{C}\mathbf{Z}$	Czech Republic	LC	Saint Lucia	RU	Russian Federation		
DE	Germany	LI	Liechtenstein	SD	Sudan		
DK	Denmark	LK	Sri Lanka	SE	Sweden		
EE	Estonia	LR	Liberia	$\mathbf{s}\mathbf{G}$	Singapore		

about the balloon. Typically, such crimps adequately secure the stent to the balloon. However, in certain circumstances, such as when the stent encounters obstacles such as hardened plaque or a flap of tissue partially torn from a vessel wall, stents occasionally slide off of the delivery catheter. It is believed that this slippage problem occurs because the stent forms a smooth continuous interface with the balloon and may not always generate sufficient frictional resistence to remain positioned on the balloon when encountering obstacles within the patient's vasculature. One approach to solving the slippage problem is to protect the balloon expandable stent with a delivery sheath. However, the use of a delivery sheath negates the reduced profile advantage of balloon expandable stent-delivery systems with respect to self-expanding stent-delivery systems.

5

10

15

20

25

Both balloon expandable and self-expandable stents must be able to simultaneously satisfy a number of interrelated mechanical requirements. First, the stent must exhibit sufficient radial or hoop strength in its expanded state to withstand the structural loads, namely radially compressive forces, imposed on the stent by the walls of a vessel. It is advantageous to distribute such loads over as much of the stent as possible and over as much lumen wall as possible. Uniform loading minimizes the possibility of localized crippling of the stent which may induce a general structural failure. In addition, uniform loading tends to minimize injury to the vessel wall. Second, it is desirable for a stent to provide a high degree of scaffolding of the lumen walls, i.e., minimize the gaps between stent struts, in order to prevent prolapse of plaque between the struts and into the lumen. Third, a stent should be sufficiently radiopaque to be readily visible by fluoroscopy procedures. Radiopacity with typical stent materials such as stainless steel and nickel-titanium alloy is generally a function of the stent's mass, and is in particular a function of the thickness of the stent struts. Fourth, a stent should be longitudinally flexible in order to be delivered through tortuous vessels. Finally, a stent should have a small crimped or initial delivery diameter in order to facilitate advancement through small vessels and must be able to expand to a second larger diameter for implantation within a body lumen. Generally,

5

10

15

20

25

30

area of the scaffolding as well as aid in neo-intimal formation after placement. Further, the two (stents and grafts) are often designed into one device called a stent-graft.

Each year about half a million Americans suffer a stroke in which obstruction or hemorrhage impairs the crucial flow of blood to the brain. About 150,000 of these stroke victims die, making stroke the third leading cause of death after heart disease and cancer, and many more suffer permanent disability. According to the American Heart Association the cost of treating stroke exceeds \$25 billion a year.

Currently, approximately 180,000 Americans undergo a preventative operation to clear carotid arteries that carry blood to the brain. The operation, known as Carotid Endarterectomy (surgical removal of plaque from the carotid artery), usually requires patients to stay in the hospital a few days, with typically a few weeks recovery time. This surgical procedure is increasing at an annual rate of greater than 20%.

A debate has arisen between vascular surgeons and "interventional" cardiologists and radiologists concerning the advantages of using of stents and/or stent-grafts to treat occluded carotid arteries compared with surgery. Stroke prevention operations/surgeries like endarterectomies are performed by vascular surgeons in the United States at a cost of about \$1.5 billion per year. Efforts to use small stents in the brain to open and maintain patency in clogged arteries have triggered a fierce debate comparing the safety and efficacy of the medical techniques. Interventionalists claim that the scaffolding accomplished with stents is easier on the patient and the patient's pocketbook. Surgeons, on the other hand, are skeptical of stenting in the carotid because of the potential for neurological complications as well as the potential for the stent to 'recoil' (return to a smaller diameter than when originally placed) some time after initial placement.

Various strategies have been devised and developed for vascular intervention in the treatment of Chronic Occlusive Disease (COD). Much of the critical occlusive disease occurs at junctions (bifurcations) in the vasculature. Of particular interest are occluded carotid arteries and other bifurcated vasculature junctures.

A recent study funded by the NIH indicates the incidence of stroke can be reduced by 55% if the occluded carotid is treated by surgical intervention. This surgical procedure

10

15

20

25

30

sometimes allow minute pieces of plaque or blood clot (emboli) to travel into the brain causing at least temporary neurological damage, and often stroke or permanent neurological defects.

Various devices have been devised and used to dispense thrombolytic agents to the occluded vasculature and/or physically disrupt and dislodge the occluding thrombus. One such catheter, described in US Patents 5,498,236, 5,380,273, and 5,713,848 by the present inventor was developed to penetrate and cross occluded portions within the vasculature, deploy an occlusion device distal to the occlusion to stop emboli from iatrogenic damage while clearing the occlusion/blockage. Although such catheters are adequate for removing occluding tissue in a vessel lumen, restenosis occurs unless balloon angioplasty or some sort of scaffolding is left in place to prop the vessel open (e.g. stent or stent-graft). Scaffolding is becoming a preferred treatment, usually with balloon angioplasty (or sometimes without) because balloon angioplasty when used without some type of scaffolding has a tendency to have a temporary result.

Femoral artery access allows the interventionalist an easy, safe and less costly approach to treat carotid stenosis with the least invasive trauma to the patient (other access is used as well). However, the need arises for a stent that can be deployed at a vascular "bifurcation" which does not occlude the side tributary (or side branch) at the bifurcation and still provide sufficient radial force to keep the vessel sufficiently open. In other words, a multi-porous or bifurcated stent or stent-graft that provides scaffolding at the vascular bifurcation and still allows blood to flow in the main vessel as well as into the bifurcated tributary is desirable.

The prior art regarding scaffolding or 'propping open' of closed or stenotic vessels is extensive. Stents or stent-grafts for scaffolding singular lumens (without bifurcations) are numerous. Stents in the past decade have been one of the most prominent technologies dealing with occlusive vascular disease. Additionally stents or stent-grafts for non-vascular occlusions such as urological, escphageal, biliary, etc. are prevalent as well. Patent number 5,383,925 by Schmitt et al describe a three dimensional braided soft tissue prostheses. In and of itself, this invention has similarities to the present invention because the present invention

5

10

15

20

25

30

also discloses braided prostheses (as well as a non-braided prosthesis). However, Schmitt et al does not address the subject of tributaries and bifurcations. Similarly, patent number 5,366,505 by Anderson et al describe a tubular medical prosthesis with knitted filaments with openings in between the filaments. This patent also does not address scaffolding of bifurcations. The stent or scaffolding intellectual property of this type that do not address stenting of bifurcations is extensive and will not be further addressed in this patent.

Conversely, endovascular support devices that address this scaffolding or stenting of bifurcations although much less common than the aforementioned non-bifurcated stents or stent-grafts are also prevalent in the market of stents as well in the patent theatre. For example patent number 5.718,724 by Goicoechea et al describe a bifurcated endoluminal prosthesis and method of installing the device, whereby the stent is configured into a one or two part Y configuration. In an analogous patent, number 5,632,763 by Glastra et al; the bifurcated stent in this patent is also an Y shaped scaffold. In these and similar Y shaped/configured bifurcated stents, the stent is designed to be placed in the entire bifurcation or Y. This enables scaffolding of the entire junction of all three tributaries.

However, there are situations where only the main vessel of the tributary is required to be stented. Such appears to be the case of stenting the bifurcation of the carotid artery. Thousands of linear (as opposed to Y stents) stents have been placed without FDA approval in the U.S. in this bifurcated carotid area. In these cases, a stent is placed into the common carotid artery and further into the internal carotid artery. The stent is placed across the external carotid artery. These stents have walls with a very 'open' structure in that they are braided stents but have large enough areas to allow blood to flow through the stent wall and into the external carotid artery or other side branch. These numerous implantations have been reported without significant complications however at the time of this invention, prospective and long-term studies have not been completed. Blood flow from the common carotid artery and into the internal carotid artery appears to be more important due to the fact that the internal carotid artery feeds blood into the middle cerebral artery and other arteries of the brain. However, blood flow into the external artery is still important and has to pass through the stent wall. This is known as 'stent jail' because the blood has to pass through the

5

10

15

20

25

30

weaves/filaments of the stent. Because of this phenomenon, only stents with large openings between the filaments of the stent can be used. This creates another problem in that there is a direct correlation between the openings between the filaments (pics per inch) and the outward radial force that can be transmitted to the vessel wall. As this open space increases, the outward radial force of the stent decreases. Conversely as the openings decrease in size, the radial force increases. Hence it is the object of the present invention to obviate that disadvantage by designing a multi-porous stent for bifurcations that allow a sufficient outward radial force, but still allows flow into the tributary or side branch.

Patent number 5,607,444 by Lam describes an ostial stent for bifurcations that is different than the Y configuration in that it the main tubular body of the stent is seated into the side branch and has a flaring end that is attached into the main vessel thereby not obstructing flow of the main branch. This design may be useful for side branches, but does not address the main vessel.

As previously mentioned, emboli can become loosened during surgical endarterectomies and these emboli can have deleterious affects 'downstream'. This occurrence would appear to be increased with a LIS approach due to the fact that in an open procedure, the site of revision is in direct view so that these particulate should be more easily detected. Conversely in a LIS procedure the physician is dependent upon image intensification and his or her actual skill to not allow emboli from being dislodged and causing 'downstream', distal problems. Patent, No. 5,695,519, by Summers et al. describes a percutaneous filter for carotid angioplasty. This design disclosure appears to have merit, but by design, it has a membrane of filter material that is overlapping that increases its diameter prior to deployment and upon un-deployment. The present invention obviates this disadvantage of a larger diameter in that there are no overlapping filaments. Further, a cumbersome 'gun' is required with the Summer system. Even further, the system is used only with angioplasty, which, as previously mentioned has a temporary effect. Patent numbers 4,842,579 and 4,926,858 describe distal barriers associated with atherectomy devices. Atherectomy has fallen from favor due to its apparent lack of efficacy combined with the complexity of use as compared with stent or stent-graft placement. Certainly, these

devices have not been perfected nor attempted for use in the head in neck area. The filter/trap/occluder device of the present invention is designed to be used alone without any angioplasty, atherectomy device. Further, the present filter invention has been fabricated and tested where diameters of the device are as small as .010 inches in diameter. With sizes this small and smaller, use in the vasculature of the head, is now available. This area appears to be the new and large frontier in the treatment COD. Further, the filter/trap/occluder system of the present invention provides for a novel braiding technique (that is used in the bifurcated stent or stent-graft as well) that allows for entrapment of particles and removal.

15

20

25

30

10

5

# SUMMARY OF THE INVENTION

A "procedure" oriented system for carotid stenting is presented which reduces or eliminates the stroke potential during stent placement by positioning a fragment filter/trap/occluder downstream (distally) from where the stent is disposed within a bifurcated blood vessel such as the common carotid artery. The stent or stent-graft can be positioned and deployed with comfort knowing that any clot, tissue fragments, etc. (emboli) which are mobilized during deployment of the stent will be trapped in the filter thereby preventing such fragments from entering the brain vasculature and causing the aforementioned deleterious effects.

Often the stenosis in the carotid occurs at the junction of the common, internal and external carotid arteries. This point of bifurcation of the common carotid artery is difficult to stent. The present invention includes both a single lumen, multi-porous stent and a bifurcated stent, both of which are operable for stenting, the common carotid artery at its point of bifurcation. The present invention is also useful for scaffolding (propping open) other bifurcated areas of the body, both vascular and non-vascular.

10

15

20

25

30

It is an object of this invention to provide a stent for maintaining patency of two or more branches of a bifurcated blood vessel that may be positioned using LIS at the point of bifurcation. These stents or stent-grafts can also be placed with an 'open' surgery approach.

It is a further object of the invention to provide an intravascular catheter for deploying a stent.

It is yet a further object of the invention to provide a stent deployment catheter or guide wire having filter means thereon operable for trapping tissue fragments dislodged during interventional procedures such as stent or stent-graft placement, balloon angioplasties, thrombolysis, etc.

It is still a further object of the invention to provide a stent or stent graft for a bifurcated blood vessel which does not substantially interfere with the flow of blood through side branch vessel.

The features of the invention believed to be novel are set forth with particularity in the appended claims. However, the invention itself, both as to organization and method of operation, together with further objects and advantages thereof may best be understood by reference to the following description taken in conjunction with the accompanying drawings.

#### BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1 is a two-dimensional schematic cross-sectional view of the common carotid artery bifurcated to form the external carotid and the internal carotid arteries with a stenosis within both branches. Figure 1 is also interpreted as being any vessel within the body where a bifurcation exists. It does not represent only the common carotid artery.

Figure 2 is a three-dimension perspective of two particular scaffolds of the present invention. In these schematics, the scaffold (stent or stent-graft) is made from a flat ribbon type material.

Figure 3 is a two-dimensional illustration of the distal protection filter/trap/occluder that may be used prior to or with placement of the stent. Further this distal protection system can be used in any interventional procedure where distal protection is desired.

5

10

15

20

25

30

Figure 4 shows two-dimensional illustrations of the scaffolding described in the present invention. Figure 4 illustrates both a ribbon type multi-porous stent or stent-graft (4C & 4D) and a braided type multi-porous stent or stent-graft (4A & 4B).

Figure 5 shows the bifurcated vessel in accordance with Figure 1 wherein the filter is first inserted and then deployed in the vessel (5A). Further Figure 5 shows the un-deployed stent or stent-graft being inserted into position (5B), deployed and then the filter system removed (5C).

Figure 6 shows the bifurcated vessel in accordance with Figure 1 wherein two guide wires are inserted into the main and branch vessel (6A), the bifurcated stent or stent-graft of the present invention is inserted into the bifurcated area (6B & 6C), deployed and then the guide wires are removed (6D).

#### DESCRIPTION OF THE EXEMPLARY EMBODIMENTS

The vasculature comprising the common carotid artery is shown schematically in Figure 1. The common carotid artery 10 branches to form the external carotid artery 11 and the internal carotid artery 12. The walls 13 of the common carotid artery and the internal carotid are shown in Figure 1 to be thickened, reducing the lumen diameter of the vasculature. This thickened wall is often referred to as a stenotic lesion of the vessel and inhibits flow through the vessel.

A guide wire 20 may be positioned within the stenosed lumen of the common, internal and/or external carotid arteries (or other bifurcated vessel system) as shown in Figures 5A, 5B, 6A, 6B & 6C. In Figures 6A, 6B & 6C, two guide wires 20 have been placed. A stent, which can be placed in any bifurcated lumen within the body (e.g. vascular, gastrointestinal, esophageal, etc.), is referred to herein as a "multi-porous stent" and shown at 30 in Figures 2,4 & 5. Turning now to figure 3, the filter 40 comprises a braided structure that is attached to an inner mandrill or wire 42 and an outer tube 43. The braid 41 is attached to the distally to the inner wire 42 and proximally to the outer tube 43. This attachment is accomplished in many ways, welding. heat-staking, gluing, etc. In Figure 3A, the filter 40 is in the undeployed configuration. In Figure 3B, the filter 40 is in the deployed condition.

10

15

20

25

30

This is accomplished by moving the inner wire 42 with respect to outer tube 43 and can be shown by the arrow 44 in Figure 3B. This action causes the braid to be put into compression and forces it to expand in an outward direction as in Figure 3B. The filter 40 illustrated is one which has variable pics per inch. One way to fabricate this variable braid is described in Patent number 5.366,443 by Eggars et al. This principal is often referred to as MLIB (Multi-Layer Interlocking Braid), but this variable braid configuration can be made other ways, only one of which is by merely compressing the braid in an asymmetrical fashion. Another way is to attach two different braids together during manufacturing. The stent or stent-graft 30 of Figures 4A, 4B, 5B & 5C may be manufactured using this variable braid technology. The filter 40 can be made from simple non-variable braid as well. In that situation, the filter 41 is deployed in the vessel and may or may not allow flow through it. If particulate are dislodged during the procedure, they will be trapped from moving downstream and can be irrigated/aspirated/lysed/obliterated (removed) from the vessel prior to un-deploying the filter and removal of it. Alternatively, the distal part of the filter 41 can have a covering over it that allows only small particles pass that are not detrimental. This covering can be a porous elastic membrane, other braid, film, filter, fabric, textile, etc. In any case, the filter 41 may have one pore size on the proximal side of the filter 41 and a smaller pore size on the distal end. This will allow particles to travel into the proximal pores (in the case of braid, the interstitial space between the filaments), become trapped inside the filter 41 and then upon un-deployment removed from the vessel and patient. Turning now to Figure 4A & 4B, the multi-porous stent or stent-graft can be designed in a similar fashion to the MLIB filter 40 previously described. Alternatively, as with filter 40, this multi-porosity can be altered using fabric, membrane, etc. Further, it can be fabricated by attaching two different types of braided structure together during manufacturing. In Figures 3A, 3B, 4A & 4B, the smaller pore size is represented by 45 and 46 show the larger pore size. All stents 30 in can be metal or non-metal, as can the filters/traps/occluders 41. In the case of a self-expanding stent or filter, the material may be shaped memory alloy (SMA, metallic or polymeric). It is important to note that in Figures 3 & 5, the filter/trap/occluder illustrated can also be the initial guide wire(s) 20 placed or they can be an additional filter/trap/occluder 40. This

10

15

20

25

30

filter/trap/occluder may be placed over the initially placed guide wire 20 whereby it will have an additional inner lumen. In that case, the inner mandrill 42, would be a tubular structure as well. Further, the filter/trap/occluder can be placed all by itself. Turning now to Figures 2A, 2B, 4C & 4D, the multi-porous stent illustrated there is made of flattened material or ribbon 50. The multi-porous characteristic is constructed by removing part of the ribbon material from the ribbon 50. This removed material is illustrated as windows 51 and 52 in the drawings. In Figure 4, the windows 51 are longitudinally shaped with respect to the ribbon 50. In Figure 2B, the windows 52 are in a circular shape. It is recognized that the shape of these openings is not relevant to the present invention, as any shape will result in multiporosity. The ribbon 50 can be made of metallic or non-metallic material. In the case of selfexpanding stents, the material may be made of shaped memory alloy (SMA, metallic or polymeric). The strip of SMA is wound into a helical shape having a small diameter dimensioned to fit within the vessel. When the stent material is a shape memory alloy (such as Nitinol, NiTi, Nickel-Titanium or other similarly acting material) it can be programmed so that when heated from a cool temperature (e.g. room temperature) to body temperature (37°C, 98°F) it will assume a programmed, expanded tubular shape shown in Figures 5C & 6D or as illustrated in Figures 2, 4A, 4C, 5C & 6D. The shape-memory stent or stent-graft (or filter) material is chosen such that the transition temperature between the smaller, deformed structure shown in Figures 2A, 2B, 3A, 4B, 4D, 5B, 6B and 6C and the large diameter tubular structure shown in Figures 2A, 2B, 4A, 4C, 5C and 6D occurs at or below body temperature (or some other temperature differential that will facilitate the change in size). The change in shape of the SMA material can be accomplished by the body temperature (whereby it would need to be constrained until in position) or by an extraneous thermal source such as warmed saline or a thermal catheter or guide wire. It is well known to anyone skilled in the art that SMA may need an elevated temperature to effect its dimensional change, but it will retain that changed configuration with a temperature lower than that required to create the change in the first place. In other words, the stent 30 or filter 41 may need some temperature higher than body temperature (37°C or 98°F) like 35°C or 110°F to expand, but will stay expanded with significant radial force at the lower body temperature. The stent 30 or filter 41 is

5

10

15

20

25

30

preferably coated with a medical grade substance having low thrombogenicity or other medicament that helps prevent deleterious effects that may accompany these procedures. Alternatively, the stent 30 may be coated with any of a variety of fabrics/textiles that allow tissue growth into it and/or other stabilization. Further, the stent 30 may be impregnated with radioactivity, monoclonal anti-bodies or a variety of other medicaments that may inhibit restenosis or other deleterious effects that wish to be avoided. Even further, it is understood that use of the present invention can be used with image intensification (Fluoroscopy, Ultrasound, Intraluminal Ultrasound, etc.).

Further, the stent 30 can be deployed by means of a guide wire or by means of an intravascular balloon catheter used either alone or adapted to slide over a guide wire 20, as will be discussed below. The stent 30 is advanced along the guide wire 20 until it is in position at the juncture between the common and the internal carotid arteries (or other bifurcated vessel) as shown in Figures 5 & 6. It is recognized that the filter 40 also referred to as Distal Protection System (DPS) may or may not be used in conjunction with the stent placement. A guide wire 20 only may be used with a stent delivery catheter 60.

Alternatively, a guide wire 20 and filter 40 and delivery catheter 60 could be used. Another alternative would be the filter 40 and the stent 30 only could be used. Further, filter 40 and catheter 60 alone could be used.

At this point (when SMA is used), the stent 30 is permitted to warm to body temperature whereupon the stent undergoes a shape transformation as shown in Figure 5C or 6D. Alternatively, as mentioned above, additional thermal energy could be delivered to that area. Even further, SMA may not be used and the stent 30 could be deployed using an inflatable balloon (not shown) or other outward radial force component to enlarge the stent 30. The increased diameter of the stent holds the carotid artery (or other vessel) open at the juncture between the internal and common carotid arteries (or other vessel). A portion of the stent 55 or 46 is preferably more permeable to flow than the remaining portion of the stent 45. This may be accomplished by aligning the windows 51 or 52 in the stent 30 such that upon enlarging the stent, the holes are preferably positioned with respect of the external carotid artery (or other side branch). Alternatively in the case of Figure 5B and 5C, the

5

10

15

20

25

multi-porous stent will be positioned so that the larger pores 55 are oriented to the side branch. The guide wire and/or catheter are then removed and the stent remains in position until no longer needed.

Another approach to maintaining patency at the point of bifurcation of the carotid is by deploying a bifurcated stent. The bifurcated stent 80 (Figures 6 B, C &D) can also be made self-expanding from a shape-memory alloy or balloon expandable. The bifurcated stent 80 may be conveniently positioned at the juncture by means of dual guide wires as shown in Figure 6. The pair of guide wires 20 are advanced through the common carotid artery (or other vessel) until they reach the bifurcation point. One guide wire 20 is inserted and advanced into the external carotid artery (or other side branch) whereas the other guide wire 20 projects further into the internal carotid artery (or other vessel). The bifurcated stent 80 is advanced along the guide wires 20 by an over-the-wire catheter 60 or similar device such that when the stent 80 reaches the point of division at the juncture of the internal and external carotid arteries (or other bifurcated vessel), the arms of the bifurcated stent 80 divides. This division of the bifurcated stent 80 can be aided by using two filter/trap/occluders 40 because of the retention force that they may have due to their impinging against the wall of the vessel. This will have a tendency to anchor the wire 20 and keep it from pulling out. This characteristic of the filter/trap/occluder is obviously of benefit elsewhere other than in Figure 6 and even outside the scope of the present invention. One arm of the bifurcated stent will project into the internal carotid artery and the other arm into the external carotid artery with the main portion of the stent remaining in the common and internal carotid arteries (or other bifurcated vessels). This is shown clearly in Figures 6B and 6C. After the stent is warmed in the case of a self-expanding stent or stent-graft (or otherwise enlarged) the guide wires 20 may be removed and the stent remains in position as shown in Figure 6D.

struts which also tends to improve retention of the stent on the balloon.

5

10

15

20

25

Referring now to FIG. 10, for the purpose of illustration only, the stent 10 is shown in its as manufactured state as a flat pattern so that the pattern of rings 30 and links 31 may be more clearly viewed. In the as manufactured state, the U-shaped portions 46 and the struts 48 are relatively straight and angular in appearance in contrast to the generally curved appearance these portions have in their compressed initial delivery state. In the exemplary embodiment shown in FIG. 10, each cylindrical ring is connected to each adjacent ring by three rows of longitudinal connecting links which are equally spaced at 120 degree intervals around the circumference of the stent. In alternative embodiments, the connecting links may be radially offset from one adjacent ring to the next.

Again, it is to be emphasized that the above described rings, U-shaped portions, struts, and connecting links are for purposes of clarity of description only. The stent 10 is machined as a unitary structure and therefore does not actually contain any discrete components.

Alternative embodiments of the stent of the present invention are shown in FIGS. 11A and 11B, 12A and 12B, 13A and 13B, 14A and 14B, and 15A and 15B. The stents shown in FIGS. 11A-14B represent stent patterns that are well known and which are adapted to incorporate the overlap features of the present invention as described. Importantly, the struts of these embodiments may overlap in any manner to achieve the desired low profile delivery diameter, high gripping force on the catheter, and enhanced expanded diameter. The stent shown in FIGS. 11A and 11B is sold under the tradename GFX and is manufactured by AVE Medtronic of Santa Rosa, California. The stent shown in FIGS. 12A and 12B is sold under the tradename AVE S670 and is manufactured by AVE Medtronic of Santa Rosa, California. The stent shown in FIGS. 13A and 13B is sold under the tradename NIR by Boston Scientific Corporation of Natick, Massachusetts. The stent shown in FIGS. 14A and 14B is sold under the tradename BX VELOCITY and is manufactured by Cordis Corporation, a division of Johnson & Johnson Company, Warren, New Jersey. The stent shown in FIGS. 15A

10

15

20

25

where the stent is positioned and ready for deployment to force the SMA stent to expand and deploy. The warmed stent would remain in position maintaining its expanded shape with the help of body temperature. This could be accomplished using a thermal guide wire or catheter as well. Further, SMA could be used in combination with balloon deployment.

Another stent design may employ a braided material to form the stent body, such that the preferred lower porosity area has less braid (thread density) in the particular area and/or more dense braid in the area where flow or porosity is not required.

The CPS (Cerebral Protection System) or DPS (Distal Protection System) approach allows the interventionalist an easy, safe and less costly approach to treat carotid stenosis (or other bifurcated stenosis) in a least invasive manner. This is accomplished by first using a guide wire filter to trap plaque or blood clots from traveling downstream and causing stroke, death, etc. However, the need arises for a stent that can be deployed at a "bifurcation" which does not occlude the tributary/side branch at the bifurcation. In other words, a multi-porous or bifurcated stent or stent-graft allows scaffolding to occur at the bifurcation and still allow blood to flow in the main vessel as well as into the bifurcated tributary.

To safely deploy a vascular stent, the physician must first gain access to the vascular lumen then advance the distal end of the filter guide wire through the vessel until the fragment filter is positioned distal to blood flow. The interventionalist then deploys the filter which is constructed such that it will allow blood to flow through it but will trap 2-300 micron particles (or other particulate size that may be detrimental). The guide wire filter is a two-lumen system with a moveable braid on the distal end. Alternatively the braid could have an elastic membrane over it so that it occludes the vessel fully or partially. If full occlusion occurs (from a fully or partially sealed filter 41), the lumen could be 'washed' for particulate (emboli) similar to the way endarterectomies are washed before closing the artery. In this case the 'washing' would be done by flushing and irrigating the lumen and then with

10

15

20

25

subsequent aspiration. This 'washing' may be repeated. When the two-lumen (42 & 43) filter 40 structure is pulled with respect to each other, the braid folds out in a round, ellipsoid, cone shape, etc. configuration. One side of the braid (the proximal end) may have large interstitial spaces and the other half may have small spaces that will trap clots and plaque.

Once deployed, the interventionist then slides the catheter 60 with the multi-porous stent 30 over the guide wire 40 and advances the stent into position proximal to the fragment filter 41. Once in place, the multi-porous stent is deployed by expanding a balloon (not shown) thereunder to force the stent wall to expand within the vessel and be in correct orientation to the bifurcated tributary or side branch. Alternatively the stent 30 could be enlarged using thermal energy. When the multi-porous stent is successfully deployed, the guide wire filter is un-deployed into its original small orientation (with any clots or plaque particles trapped inside) and removed or otherwise obliterated.

Often a stent or stent-graft such as a braided stent is mounted on a catheter over an inflatable balloon. The stent is prevented from expanding until in proper position within the vessel whereupon the balloon is inflated, causing the braided stent to press against the intima (inner wall of the vessel). The balloon and filter are then deflated and retracted respectively and the removed leaving the stent within the vessel (s). It is noticed that the filter/trap/occluder can also be mounted onto the deployment catheter as opposed to a separate device. Alternatively, balloon angioplasty can be accomplished prior to inserting the stent to allow for easier placement of the stent and a subsequent larger diametrical result.

An *in vitro* model of the bifurcated carotid was designed and fabricated and a multiporous stent and delivery system were developed. The multi-porous stent was deployed in the bifurcated area and proved to adequately provide scaffolding to prop open the commoninternal carotid junction as well as continue to provide flow to the external carotid artery.

### 5 What I claim is:

10

15

20

- 1. An improved radially expandable, tubular prostheses having a wall useful for repairing compromised section of a bifurcated vessel, the compromised section of the vessel occurring near the bifurcation, said bifurcation including at least one vessel branching off from said vessel, the prostheses being of the type having an elongated body with a proximal end and a distal end, wherein the improvement comprises at least a portion of the body of said prosthesis being configured so that its wall has variable porosity when in place in the vessel to allow for decreased obstruction to the side branch when placed in a bifurcated area.
- 25 2. The prosthesis of claim 1 wherein a construction of the prosthesis is flattened material in a coiled configuration with windows in the wall of the coiled wire to decrease porosity there when in place in the area of bifurcation and in contact with the wall of said body lumen to maintain the axial position of said prosthesis in said body lumen.

30

3. The prosthesis of claim 1 wherein a construction is variable braided weave of filament material, braided in such a way that the interstitial space between the braid varies to allow different porosities when in place in the area of bifurcation and in contact with the wall of said body lumen to maintain the position of said prosthesis in said body lumen.

35

4. The prosthesis of claim 1 comprising only a single of round material wound into a cylindrical spiral that has varying distances between the coils so that a variable porosity exists.

40

- 5. The prosthesis of claim 1 that is expandable to desired size by means of an internal expanding force that is an inflatable balloon.
- 6. The prosthesis of claim 1 that is expandable to desired size by means of a selfexpanding force.

5

7. The prosthesis of claim 1 that is expandable to desired size by means of a self-expanding force and a balloon expandable force.

15

- 8. The prosthesis of claim 1 wherein the material used to make the prosthesis is selected from the group consisting of tantalum and Nitinol, Dacron, wool, nylon, polyethylene, polyester, stainless steel alloy, titanium. Teflon or other alloy.
- 9. The stent of claim 1 wherein the prosthesis is coated with a coating comprising a textile fabric.

20

10. The prosthesis of claim 1 wherein said material used in the prostheses includes a drug.

25

11. The prosthesis of claim 1 wherein said material used in the prostheses includes temporary energy dispersal that helps prevent restenosis.

12. A method for forming a endoluminal scaffold within a bifurcated area of a vessel wherein the bifurcated area includes a primary vessel portion and at least one secondary vessel portion branching off from said primary vessel portion, the method comprising the following steps:

30

positioning the scaffold having an interior lumen within said vessel by expanding the scaffold against said vessel wall;

orienting a portion of the endoluminal scaffold so that an area of less porosity of

10

15

20

25

30

the scaffold is adjacent to the secondary branching vessel to decrease obstruction to that vessel.

- 13. A device for the removal of particles from a lumen within the body comprising: an elongated, flexible, tubular member with a proximal end and a distal end, said tubular member having a moveable inner, elongated member where the inner member is attached to the distal end of a braided structure and the outer tubular member is attached to the proximal end of the braided structure;
- means for moving the inner member with respect to the outer tubular member which simultaneously enlarges the distal, braided, structure so that it is dimensioned to contact or nearly contact the inner tissue of a lumen in the body to inhibit particles from moving beyond the enlarged structure.
- 14. An improved device as in claim 13 where said braided structure has a smaller pore size one side as compared to the other.
- 15. An improved device as in claim 13 where said braided structure has an elastic membrane adjacent to the braided structure that limits the pore size to that of the membrane and larger.
- 16. An improved device as in claim 13 where said braided structure has a membrane adjacent to the braided structure that limits the pore size to that of the membrane and larger.
- 17. A method for forming an occlusion system within a lumen of the body to trap particles comprising the following steps:

inserting an elongated, flexible, tubular member with a proximal end and a distal

-20-

- 27. The stent of claim 24, wherein each strut has a generally S-shaped configuration.
  - 28. The stent of claim 22, wherein the stent is formed from a tube.
- 29. The stent of claim 22, wherein the stent is formed from a metal alloy.
- 30. The stent of claim 22, wherein the stent is formed from stainless steel.
- 31. The stent of claim 22, wherein the stent is formed from a shape memory alloy.
- 32. The stent of claim 22, wherein the stent is formed from a superelastic alloy.
  - 33. The stent of claim 22, wherein the stent is formed from a polymer.
  - 34. A stent for use in a body lumen, comprising:

    a plurality of nested cylindrical rings interconnected to form the

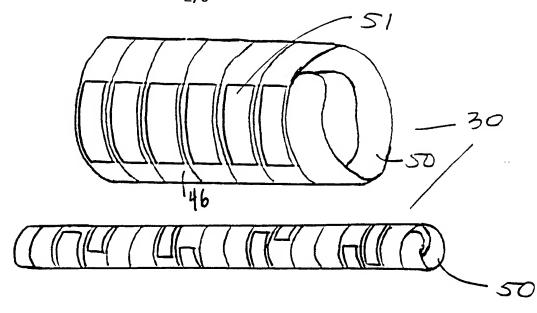
stent, each cylindrical ring having an initial delivery diameter and an expanded deployed diameter;

each cylindrical ring having a plurality of peaks and valleys;
means for causing the valleys of each cylindrical ring to overlap
the valleys of each adjacent cylindrical ring when the stent is compressed to its initial
delivery diameter; and

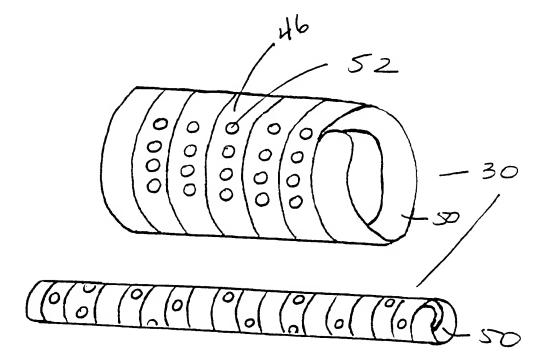
5

means for connecting each cylindrical ring to an adjacent cylindrical ring.

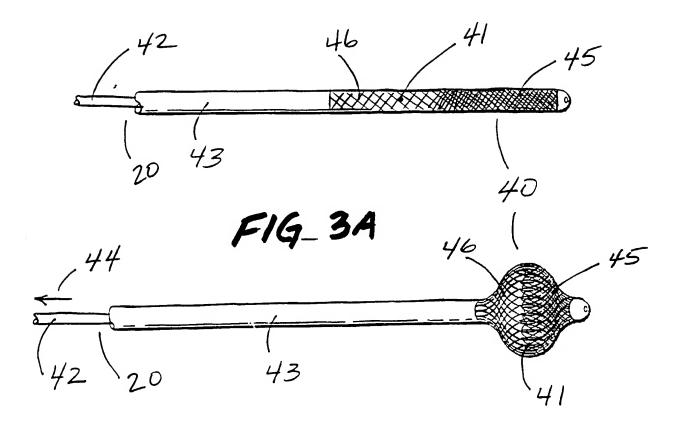




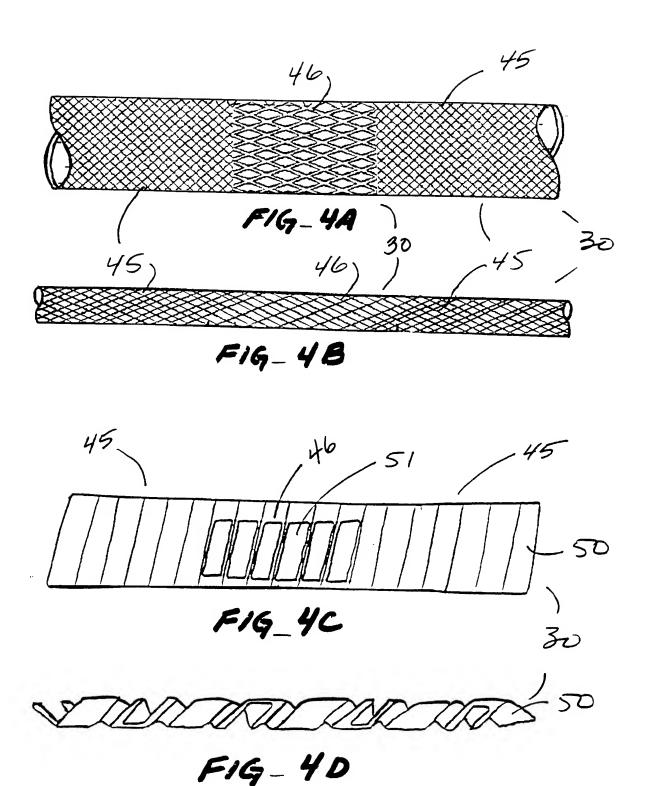
F19\_2A

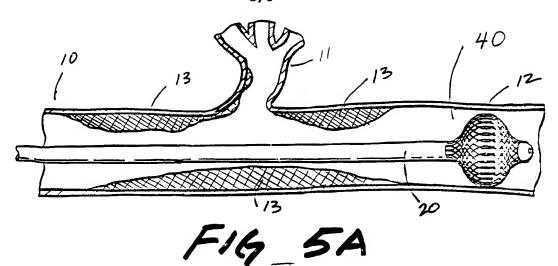


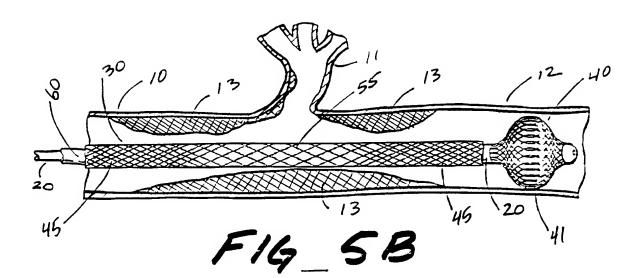
F19-28

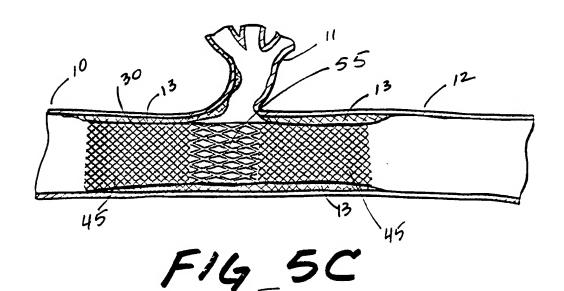


F14\_3B

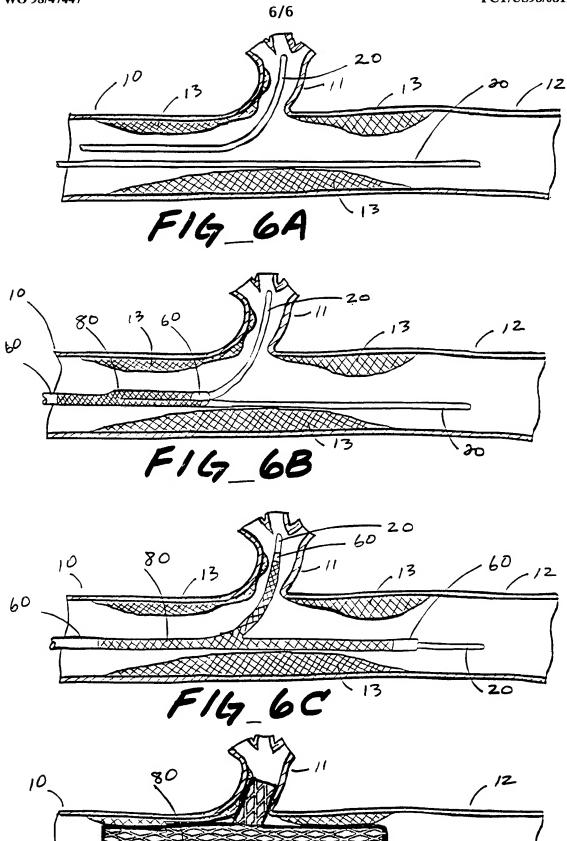








SUBSTITUTE SHEET (RULE 26)



F14 6D

### INTERNATIONAL SEARCH REPORT

International application No.
PCT/US98/08194

IPC(6) :	SSIFICATION OF SUBJECT MATTER :A61F 2/06, 2/04	-						
US CL: 623/1, 12 According to International Patent Classification (IPC) or to both national classification and IPC								
	DS SEARCHED							
	ocumentation searched (classification system followed by classification symbols)							
U.S. : 6	623/1, 12							
Documentati	ion searched other than minimum documentation to the extent that such documents are included	in the fields searched						
APS	lata base consulted during the international search (name of data base and, where practicables in the international search (name of data base and, where practicables in the international search (name of data base and, where practicables in the international search (name of data base and, where practicables in the international search (name of data base and, where practicables in the international search (name of data base and, where practicables in the international search (name of data base and, where practicables in the international search (name of data base and, where practicables in the international search (name of data base and, where practicables in the international search (name of data base and, where practicables in the international search (name of data base and, where practicables in the international search (name of data base and, where practicables in the international search (name of data base and	e, search terms used)						
C. DOC	UMENTS CONSIDERED TO BE RELEVANT							
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.						
X	US, 5,383,892 A (CARDON et al) 24 January 1995, see Figures 1 and 2.	1, 5, 8, 11-14, 16, 17						
X	US 5,562,725 A (SCHMITT et al) 8 October 1996, Figures 4 and 6, col. 7, lines 1-10 and 65-67, col. 8, lines 1-21, col. 6, lines 19-23.							
X	US 5,603,722 A (PHAN et al) 18 February 1997, Figures 1c, 2c, 3c.	1, 2, 4						
X	US 5,382,259 A (PHELPS et al) 17 January 1995, Figures 10, 11, 21	1, 3, 5, 8-14, 16, 17						
x	US 5,507,769 A (MARIN et al) 16 April 1996, Figure 2.	18						
Furth	her documents are listed in the continuation of Box C. See patent family annex.							
* Special categories of cited documents:  "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand								
	cument defining the general state of the art which is not considered the principle or theory underlying the be of particular relevance							
	lier document published on or after the international filing date  "X"  document of particular relevance; to considered novel or cannot be considered.							
	cument which may throw doubts on priority claim(s) or which is when the document is taken alone and to establish the publication date of another citation or other							
spec	cial reason (as specified)  "Y"  document of particular relevance; to involve an inventive considered to involve an inventive considered.	e step when the document is						
mea	ans heing obvious to a person skilled in	combined with one or more other such documents, such combination being obvious to a person skilled in the art						
	priority date claimed							
Date of the	Date of the actual completion of the international search  Date of mailing of the international search report							
22 JUNE 1998 24 July 1998 (24.07.98)								
	nailing address of the ISA/US her of Patents and Trademarks  Authorized officer	Colmin						
Washington, D.C. 20231								
Pacsimile No	o. (703) 305-3230 Telephone No. (703) 308-0804							